Investigation of Electron Crystallization in a HCP Structure

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Abstract

The ground state energies of non-magnetic, ferromagnetic and antiferromagnetic phases of 3D electron crystals corresponding to hcp structure are computed. In each case, the possibility of the Wigner electrons having cubic or spherical constant energy surface (the region of integration in momentum space) is investigated. The role of correlation energy is suitably taken into account. The range of the low density region favourable for Wigner electron crystallization is found. The structure dependent Wannier functions, which give proper localized representation for the Wigner electrons in the crystal, are employed in the calculation.

Keywords: Electron crystal, Wannier function, magnetism, Yukawa type background, correlation energy

Introduction

In 1934, Wigner [1,2] suggested that an electronic assembly will crystallize in an electron crystal in a uniform neutralizing positive background. Edwards and Hillel [3] have used a variational approach to the Hartree-Fock approximation and the corresponding orbitals are the Bloch states. Later Hedin [4] and in more detail Misawa [5] used the Gell-Mann-Brueckner [6] formalism to compute the tendency towards the ferromagnetism. The basic quantity, \( r_s \) is a convenient indicator of the electron density, which is a dimensionless parameter. It is defined as the radius of the unit sphere enclosing volume equal to the volume per electron of the electron gas. Misawa [5] found that ferromagnetism could occur for \( r_s > 10 \). Mott [7] predicted that, the transition from ferromagnetic gas to ferromagnetic crystal is at \( r_s \approx 20 \). Carr [8] and Edwards and Hillel [3] investigated the ferromagnetic and antiferromagnetic states of the Wigner electron crystal. Young et al. [9] experimentally found weak ferromagnetism in the low density electron crystal at high temperature. Caperley [10] explained the ferromagnetism of electron gas at low density using a Quantum Monte Carlo method. His calculation predicted that the electrons will spin align at a density less than \( 2 \times 10^{20} \text{ cm}^{-3} \) and crystallize at a density \( 2 \times 10^{18} \text{ cm}^{-3} \). The Hartree-Fock ground state of the three dimensional electron gas was investigated by Zhang and Caperley [11]. Paplavskyy et al. [12] studied the local density of states of the electron crystal in graphene. Wigner electron crystallization in two dimensional amorphous dielectric materials was investigated [13]. Campbell et al. [14] have produced the laser cooled Wigner crystals of \(^{229}\text{Th}\) for optical excitation of the nuclear isomer. Recently, we have investigated the Wigner crystallization of quadratically dispersed electrons...
in graphene [15]. In this work, the ground state energies of the non-magnetic, ferromagnetic and antiferromagnetic phases of 3D Wigner electron crystal with uniform neutralizing and Yukawa type positive backgrounds with hcp structure are computed. The crystallization density is calculated.

Theory

The Wannier functions are constructed using the variational principle given by Koster-Kohn [16,17]. In this work, we transform the Gaussians into orthogonalized atomic orbitals using the method given by Lowdin [18] and Calais and Appel [19]. The Wannier function thus obtained is;

$$ W(r) = \sum_{m} \phi(m,r) \Delta^{-1/2}(m,0) $$

where $\phi(m,r)$ is the Gaussian function and $\Delta^{-1/2}(m,0)$ is the reciprocal square root of the overlap matrix. The expression for $\Delta^{-1/2}(m,0)$ is [19,20].

$$ \Delta^{-1/2}(m,0)=V_{oa} \int \frac{\cos 2\pi k.m}{d(k)}^{1/2} dk $$

where $V_{oa}$ is the volume per electron and;

$$ d(k)=1+2 \sum_{m} s(m) \cos (2\pi k.m) $$

and $s(m)$ is the overlap integral, $k$ is the reciprocal lattice vector and $m$ is the lattice vector in ordinary space.

We can transform Eq. (2) for different cubic structures. If $\psi$ are Bloch orbitals of the state under consideration, then the Wannier function $W$ and $\psi$ are related by;

$$ W = \psi U^+, \quad \psi = WU $$

with

$$ U(m,k) = \frac{1}{\sqrt{N}} \exp(2\pi i k.m) $$

We have considered the non-magnetic (NC), ferromagnetic (FC) and antiferromagnetic (AC) phases of the 3D electron crystal. Like Edwards and Hillel [3], we have;

$$ \rho_{NC}(x,x') = \rho_{NC}(r,r') [\alpha\alpha' + \beta\beta'] $$

$$ \rho_{FC}(x,x') = \rho_{FC}(r,r') \alpha\alpha' $$

$$ \rho_{AC}(x,x') = \rho_{AC}(r,r') \alpha\alpha' + \rho_{AC}(r,r') \beta\beta' $$

where $\alpha\alpha'$ and $\beta\beta'$ represent the spin of the electrons and in terms of the Wannier function.

$$ \rho_{NC}(r,r') = \sum_{m,m'} W_{NC}(m,r) R_{NC}(m,m') W_{NC}^*(m',r') $$

$$ \rho_{FC}(r,r') = \sum_{m,m'} W_{FC}(m,r) R_{FC}(m,m') W_{FC}^*(m',r') $$

$$ \rho_{AC}^+(r,r') = \sum_{m,m'} W_{NC}(m,r) R_{AC}^+(m,m') W_{NC}^*(m',r') $$

we have;

$$ R_{NC}(m,m') = V_{oa} \int_{x_{NC}} \exp[2\pi i k.(m-m')] dk $$

and is similar for the FC while a special case is needed for AC. We further notice that;
\[ \int \rho_{nc}(r, r') dr = N/2 \quad (13) \]
\[ \int \rho_{fc}(r, r') dr = N \quad (14) \]
\[ \int \rho_{ac}(r, r') dr = N/2 \quad (15) \]

In the calculation of the \( R_j (j = NC, FC, AC) \), we can take the region of integration in \( k \)-space as a cubic or spherical surface. Now, we consider the NC and FC cases. From Eq. (13) we can write;

\[ \sum_m R_{NC}(m, m) = \frac{N}{2} \quad (16) \]

Similarly, from Eq. (14) we can write;

\[ \sum_m R_{FC}(m, m) = N \quad (17) \]

Combining Eq. (12) with the above equations and integrating over a cube gives;

\[ R_j^C(m, m') = V_{oa} \prod_{i=1}^{3} \frac{\sin \pi b_j |m_i - m'_i|}{\pi |m_i - m'_i|} \quad (18) \]

where \( b_j \) is the cube edge of the cubic surface. Integrating over a sphere gives;

\[ R_j^S(m, m') = \left( \frac{4\pi}{3} \right) k_j^3 V_{oa} q(2\pi k_j |m - m'|) \quad (19) \]

where

\[ q(x) = \frac{3(\sin x - x \cos x)}{x^3} \quad (20) \]

and

\[ x = 2\pi k_j |m - m'| \quad (21) \]

where \( k_j \) is the radius of the spherical surface in \( k \)-space. Combining Eqs. (12) and (16), we get;

\[ V_{NC} = \frac{1}{2V_{oa}} \quad (22) \]

Similarly for the FC case

\[ V_{FC} = \frac{1}{V_{oa}} \quad (23) \]
For a hcp lattice, \( V_{ao} = a^{3/6} \), where ‘a’ is the cube edge. Thus, a cubic surface in k-space has the cube edge.

\[
b_{NC} = \frac{3^{1/3}}{a}
\]

and
\[
b_{FC} = \frac{6^{1/3}}{a}
\]

The radius of the spherical surface in k-space is:

\[
k_{NC} = \left(\frac{9}{4\pi}\right)^{1/3} \frac{1}{a}
\]

and
\[
k_{FC} = \left(\frac{9}{2\pi}\right)^{1/3} \frac{1}{a}
\]

**Effect of positive background**

The Hartree-Fock effective operator for a normal system is;

\[
H_{\text{eff}}(I) = \frac{1}{2} \Delta_1 - \sum_g \frac{Z_g}{|r_I - R_g|} \left[(1 - \rho_{I12})\rho\left(x_2, x_2'\right)\right] \frac{dx_2}{r_{I2}}
\]

For any extended system one has to evaluate carefully the matrix elements of the long range electrostatic part.

\[
V_C(r_I) = -\sum_g \frac{Z_g}{|r_I - R_g|} + \int \rho(x_2', x_2) \frac{dx_2}{r_{I2}}
\]

so that the large parts are cancelled out [18,21-23]. Uniform distribution and point like nuclei are the two extreme cases of positive charge distribution and the entire real situations lie between the above two cases. In the present work, we have investigated both cases.

**Uniform neutralizing positive background**

For the uniform distribution of positive background, the two terms in Eq. (29) cancel each other and \( V_C(r_I) = 0 \). Then, we are left with the kinetic energy term and exchange energy term in \( H_{\text{eff}} \). That is;

\[
H_{\text{eff}}(I) = \frac{1}{2} \Delta_1 - \int \frac{P_{I12}\rho\left(x_2, x_2'\right)}{r_{I2}} dx_2
\]
Non-uniform positive background

For the Wigner electron crystal, it is reasonable to have a non-uniform distribution of positive charge, which does not have to be uniform. Hall pointed out that the limit of zero for $\lambda$ yields the Wigner electron crystal. The non-uniform positive background is represented by a periodic array of Yukawa distribution with variable ripple parameter $\lambda$.

Yukawa type distribution

The background is represented by a charge distribution centered about each lattice site.

$$P(r) = \frac{\lambda^2 \exp(-\lambda r)}{4\pi r}$$  \hspace{1cm} (31)

where $\lambda$ is the variational parameter.

If we can define;

$$\rho(r) = \rho(r) - P(r)$$  \hspace{1cm} (32)

then the expression for $V_c(r_i)$ is;

$$V_c(r_i) = \frac{1}{2} \int \frac{\rho(r)}{r_i} dr_2.$$  \hspace{1cm} (33)

The expression for the electrostatic energy with Yukawa type positive background is derived as;

$$E_c = \frac{1}{2} \sqrt{\frac{2\alpha}{\pi}} \sum_{m,m'} R(m,m') M(m,m') F_0(PQ^2)$$

$$\times \exp\left(\frac{\alpha}{4} \overline{00^2} - \frac{\alpha}{4} \overline{mm^2}\right) + \frac{\lambda}{4}$$

$$- \sqrt{\frac{4\alpha}{\pi}} \sum_{m,m} R(m,m') M(m,m') F_0(\alpha CP^2)$$

$$\times \exp\left(\frac{\alpha}{4} \overline{00^2} - \frac{\alpha}{4} \overline{mm^2}\right)$$

$$+ 4\pi \left(\frac{\alpha}{\pi}\right)^{3/2} \sum_{m,m'} R(m,m') M(m,m')$$

$$\times \frac{\alpha}{2} \left[ r_2^2 - m_1^2 - \frac{\alpha}{2} r_2 - m_1^2 \right] r_2 \cdot dr_2.$$  \hspace{1cm} (34)

where $M(m,m')$ is the weight factor depending upon the number of nearest neighbours and $F_0(t)$ is defined as;

$$F_0(t) = \frac{1}{2} \sqrt{\frac{\pi}{t}} \text{erf}\left(\sqrt{t}\right)$$  \hspace{1cm} (35)
Antiferromagnetic phase

Consider a system with 2N physically equivalent sites based on a lattice with one site at each lattice point, the lattice consists of two equivalent interpenetrating sub-lattices, the nearest neighbours of any site belonging to the opposite sub-lattice. Such a system is a special case of systems called antiferromagnetic structures [25]. Sites are even or odd depending on their relation to a chosen site, those on the same sub-lattice are even.

If there are 2N electrons in the lattice then each sub-lattice will have N electrons. The first Brillouin zone of the sub lattice contains just $kN$ vectors, half as many as that of a full lattice. Let the sub-lattice zone be placed symmetrically around zero inside the full lattice, the remaining portion of the full lattice zone is also the second Brillouin zone of the sub-lattice, called a residual zone. For every $K$ inside the sub-lattice zone, there corresponds a unique conjugate $K'$ in the residual zone, such that the functions:

$$
\chi(k) = \frac{1}{\sqrt{2}} \left[ \psi(k) + \psi(k') \right]
$$

where $k = k + K$, are sub-lattice Bloch type orbitals having density only on a single sub-lattice, $\chi(k)$ being associated with the even lattice and $\chi(k')$ with odd sub-lattice. The Fock-Dirac matrices can be constructed as;

$$
\rho_{AC}^+(r,r') = \sum_{k} \chi(k,r) \chi^*(k,r')
$$

$$
\rho_{AC}^-(r,r') = \sum_{k} \chi(k,r) \chi(k,r')
$$

then $\rho_{AC} = \rho_{AC}^+ + \rho_{AC}^-$. In terms of Wannier functions;

$$
\rho_{AC} = \frac{1}{2} \sum_{m,m'} W_{AC}(m,r) \left[ R_{AC}^+(m,m') + R_{AC}^-(m,m') \right] W_{AC}^*(m',r')
$$

That is;

$$
\rho_{AC}^+ = \frac{1}{2} \sum_{m,m'} W_{AC}(m,r) \left[ R_{AC}^+(m,m') \right] W_{AC}^*(m',r')
$$

and

$$
\rho_{AC}^- = \frac{1}{2} \sum_{m,m'} W_{AC}(m,r) \left[ R_{AC}^-(m,m') \right] W_{AC}^*(m',r')
$$

Using Eq. (15), we can write;

$$
\frac{N}{2} = \sum_{m,m'} R_{AC}^+(m,m') \int W_{AC}^+(m,r) W_{AC}^*(m',r) dr
$$

$$
\frac{N}{2} = \sum_{m,m'} R_{AC}^+(m,m') = V_{m} \sum_{AC} \int dV_k = NV_{m} V_{AC}^+
$$

So that;
Using Eqs. (1), (5) and (36), the expressions for $R_{AC}^+(m,m')$ and $R_{AC}^-(m,m')$ are derived as;

$$R_{AC}^+(m,m') = \frac{2}{N} \sum_k \exp(2\pi i k \cdot (m - m')) \left( 1 + \exp(-2\pi i K \cdot k) \right) \left( \exp(2\pi i K \cdot m) + \exp(2\pi i K \cdot m') \right)$$

and

$$R_{AC}^-(m,m') = \frac{2}{N} \sum_k \exp(2\pi i k \cdot (m - m')) \left( 1 - \exp(-2\pi i K \cdot k) \right) \left( \exp(2\pi i K \cdot m) + \exp(2\pi i K \cdot m') \right)$$

**Computational details**

Wigner [1,2] observed that, “for sufficiently large $r_s$ (low density limit), the wave function for the crystallized electrons should be a Gaussian”. Thus, we have selected a single Gaussian of the form.

$$\varphi(r) = \left( \frac{2\alpha}{\pi} \right)^{1/2} \exp(-\alpha r^2)$$

where $\alpha$ is the variational parameter. The Wannier function is obtained as the linear combination of symmetrically orthonormalized Gaussian as indicated in Eq. (1). The reciprocal square root of the overlap matrix $\Delta^{-1/2}(m,0)$ (Eq. (2)) is computed using the procedure given by Calais and Appel [19]. We then minimize the energy functional.

$$\varepsilon = \int W^*(r) H_{eff} W(r) \, dr$$

The orbital exponent of the Gaussian $\alpha$ is used as the variational parameter. The Hartree-Fock ground state energy values are found by extremizing the above functional. The correlation energy is calculated from the Wigner Interpolation formula [1,2].

$$\varepsilon_c = - \frac{0.88}{r_s + 7.8}$$

The correlation energy calculated from the above equation is added to the Hartree-Fock ground state energy. In this way the ground state energy of the non-magnetic, ferromagnetic and antiferromagnetic phases of the 3D Wigner electron crystal corresponding to the hcp structure are computed.
Results and discussion

The ground state energies are computed for the non-magnetic, ferromagnetic and antiferromagnetic phases of the 3D Wigner electron crystal with a hcp structure corresponding to cubic and spherical surfaces as the region of occupation in $k$-space respectively with uniform neutralizing and Yukawa type positive backgrounds and are presented in Tables 1 and 2 for $r_s = 10$ to 100.

<table>
<thead>
<tr>
<th>$r_s$</th>
<th>Non-magnetic phase</th>
<th>Ferromagnetic phase</th>
<th>Antiferromagnetic phase</th>
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<td>cubic</td>
<td>spherical</td>
<td>cubic</td>
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<tr>
<td>10</td>
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<tr>
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<tr>
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<td>-0.10480</td>
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<tr>
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<td>-0.04211</td>
<td>-0.06558</td>
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<tr>
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<td>-0.02049</td>
<td>-0.02217</td>
<td>-0.03387</td>
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<table>
<thead>
<tr>
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<th>Ferromagnetic phase</th>
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<td>-0.02757</td>
<td>-0.04464</td>
</tr>
</tbody>
</table>

From Tables 1 and 2, it is found that the ground state energy of a spherical surface as the region of occupation in $k$-space is less than a cubic surface for NC, FC and AC cases. The Yukawa type positive background leads to a lower ground state energy.

To evaluate the critical density for the Wigner transition, the electron crystal energies obtained with Yukawa type positive background with a spherical surface as the region of occupation in $k$-space are plotted against the density parameter $r_s$ for non-magnetic, ferromagnetic and antiferromagnetic phases in Figures 1 - 3.
Figure 1 Electron crystal energy versus $r_s$ - non-magnetic phase.

Figure 2 Electron crystal energy versus $r_s$ - ferromagnetic phase.
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These figures are similar to the graph obtained by Carr [8] and Herring [26]. From Figure 1, it is found that for the non-magnetic case, the electron crystallization is above $r_s = 20$, which corresponds to a density of $2.03 \times 10^{20}$ per cm$^3$ and the Wigner crystal is stable in this limit. For the ferromagnetic phase, Figure 2, it is found that the electron crystallizes out in the ferromagnetic phase at $r_s = 15.0$ corresponding to a density of $4.8 \times 10^{20}$ per cm$^3$. From Figure 3, it is found that for the antiferromagnetic case, the discontinuous decrease of slope is above $r_s = 10$ corresponding to a density of $1.62 \times 10^{21}$ per cm$^3$. This is an indication of a Wigner transition.

Figure 3  Electron crystal energy versus $r_s$ - antiferromagnetic phase.

<table>
<thead>
<tr>
<th>Crystallization density of 3D Wigner electron crystal (electrons per cm$^3$).</th>
</tr>
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<tbody>
<tr>
<td><strong>Non-magnetic phase</strong></td>
</tr>
<tr>
<td>------------------------</td>
</tr>
<tr>
<td>$2.03 \times 10^{20}$</td>
</tr>
<tr>
<td>$2.0 \times 10^{19}$ - $2.0 \times 10^{19}$[27]</td>
</tr>
<tr>
<td>$2.0 \times 10^{20}$[28]</td>
</tr>
<tr>
<td>$1.56 \times 10^{19}$ - $1.62 \times 10^{21}$[29]</td>
</tr>
<tr>
<td>$8.24 \times 10^{19}$[32]</td>
</tr>
</tbody>
</table>
The crystallization density calculated for the non-magnetic, ferromagnetic and antiferromagnetic phases of 3D Wigner electron crystal are compared with other experimental [9,27] and theoretical results [3,5,7,8,28-32] in Table 3. Our computed electron density value for non-magnetic phase is in agreement with that of Mott [7] and Nozieres and Pines [28]. It is found that the result obtained for antiferromagnetic case is in agreement with the results of Edwards and Hillel [3].

Conclusions

The ground state energies are computed for the non-magnetic, ferromagnetic and antiferromagnetic phases of the 3D Wigner electron crystal with a hcp structure corresponding to cubic and spherical surfaces as the region of occupation in k-space respectively with uniform neutralizing and Yukawa type positive backgrounds. It is found that the ground state energy of a spherical surface as the region of occupation in k-space is less than a cubic surface. The Yukawa type positive background leads to a lower ground state energy. The crystallization density of the non-magnetic, ferromagnetic and antiferromagnetic phases are 2.03×10^{20} cm^{-3}, 4.8×10^{20} cm^{-3} and 1.62×10^{21} cm^{-3}, respectively. The computed values are in agreement with other experimental and theoretical results. The ground state energy of the ferromagnetic phase is lower than that of the non-magnetic and antiferromagnetic phases. Thus, at lower densities the ground state of the electron crystal is ferromagnetic.

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References


